

FIG 1

226

HAVE	QTY	VALUE	WANT	VALUE
DD	45	20	AET	90

228 230 232 234 236

200

216

TRANSACTION	HAVE	QTY	VALUE	WANT	VALUE
2221	AET	100	MKT	OMX	MKT = 3%
4890	AET*	7.5	85	DD*	16
6034	AET*	100	90	DD	MKT
5564	DD	300	17	AET	74
9021	DD	110	MKT	RHAT	MKT
3152	OMX*	30	41	RHAT*	25
1657	OMX*	35	45	DD	20
3360	OMX*	40	MKT	RHAT	18
8303	RHAT	50	19	AET	MKT
0077	RHAT*	20	18	AET	MKT
1779	RHAT	15	MKT	OMX	29

FIG. 2

300 ↓

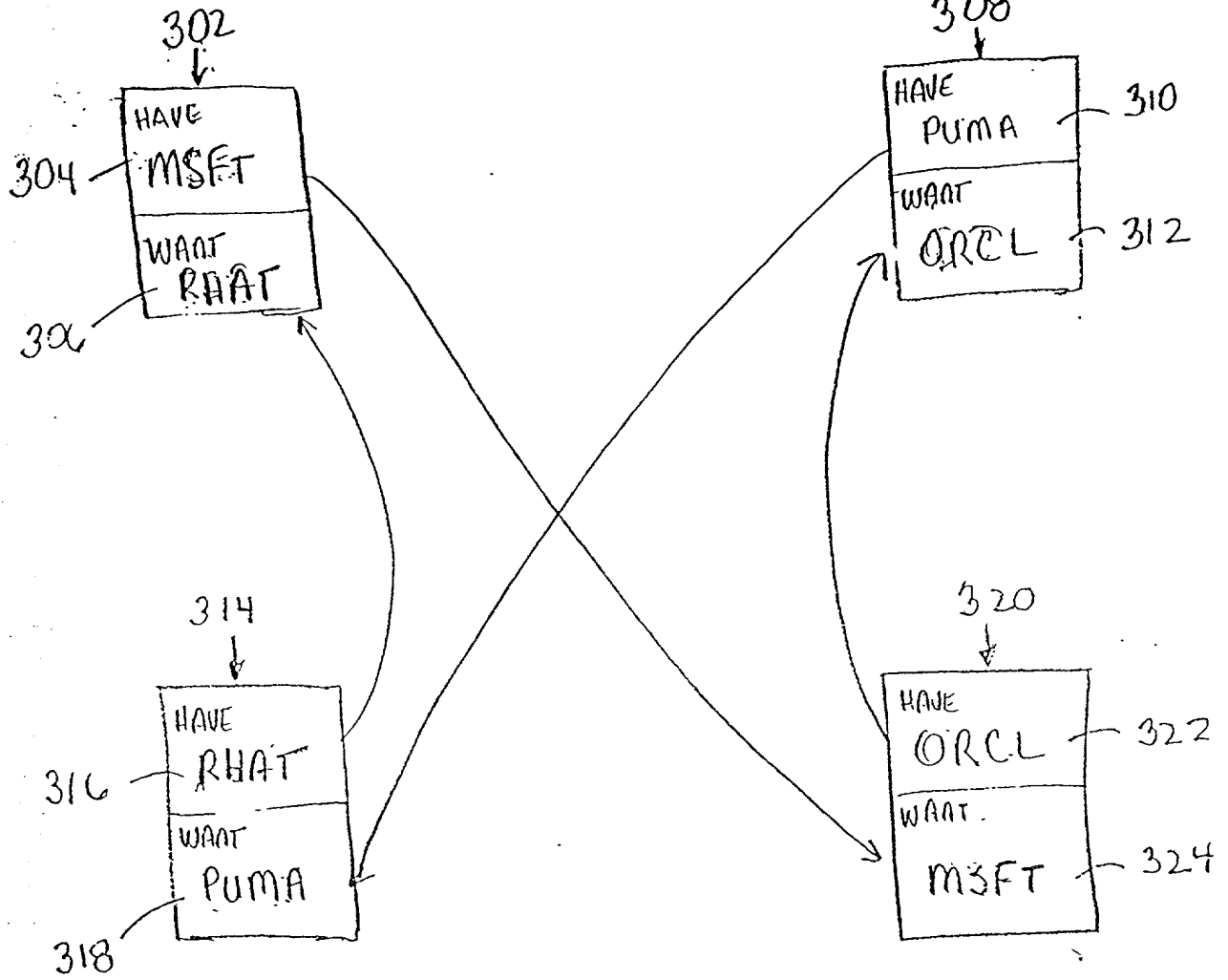


FIG. 3

400

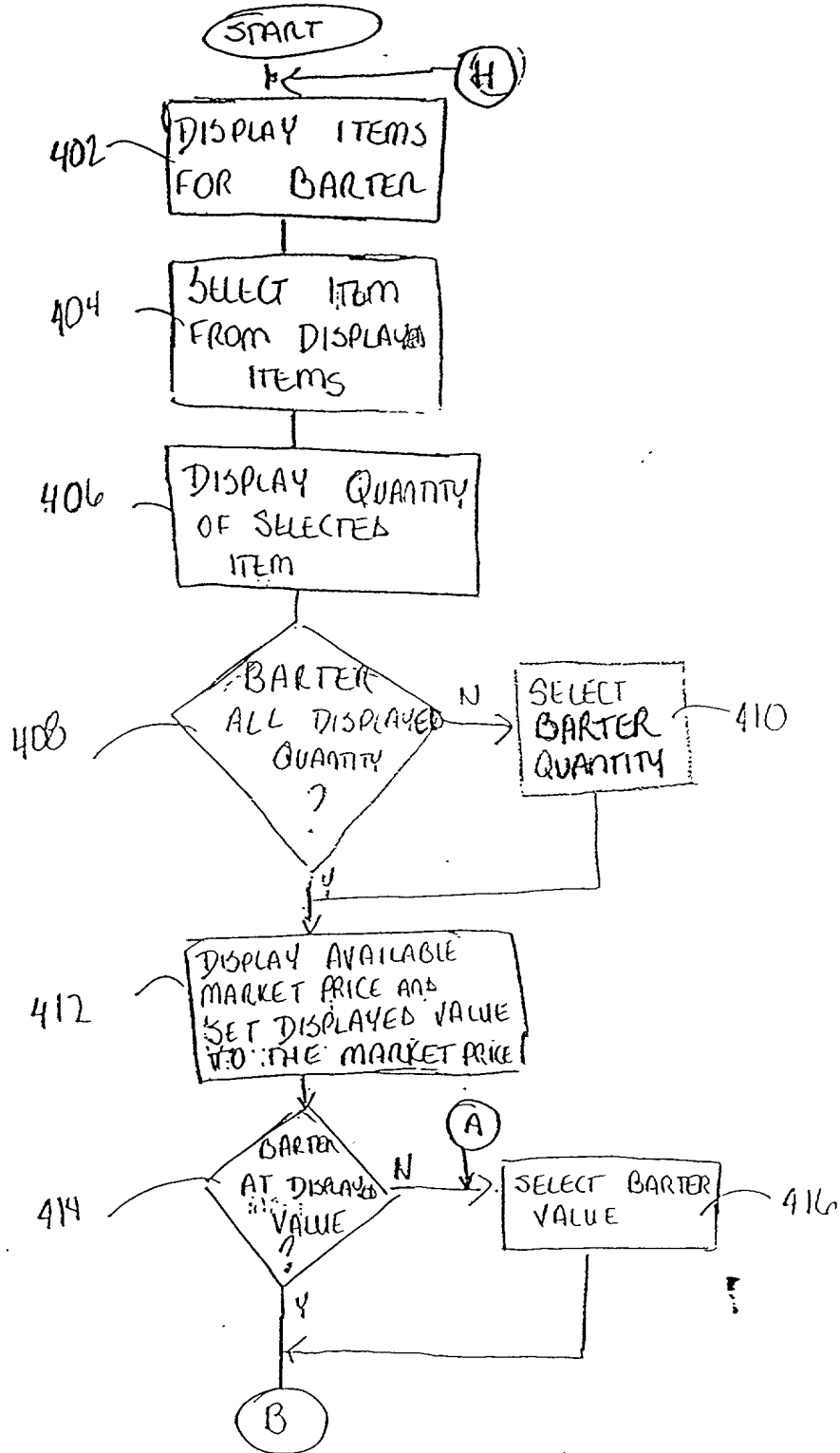


FIG. 4A

400

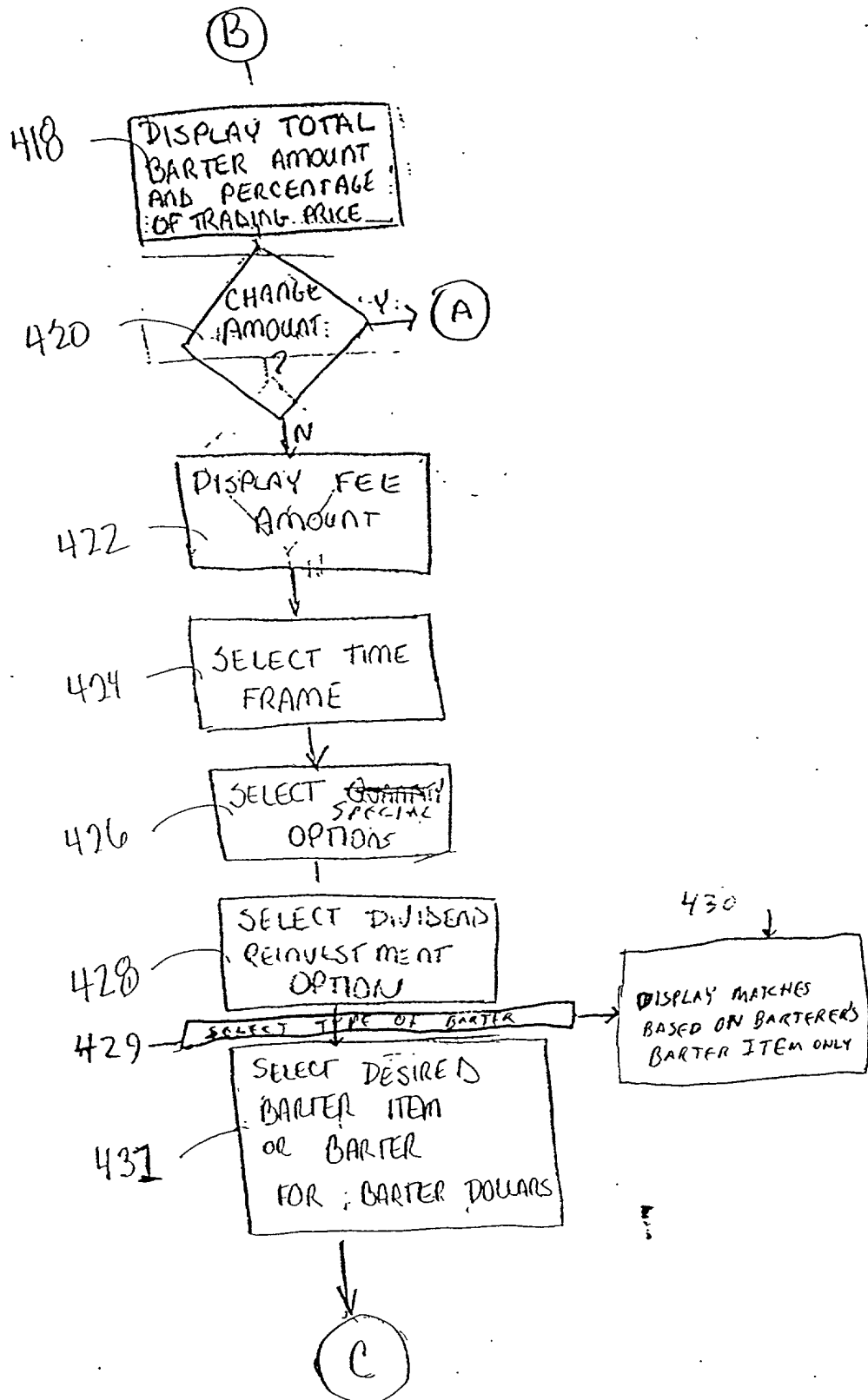
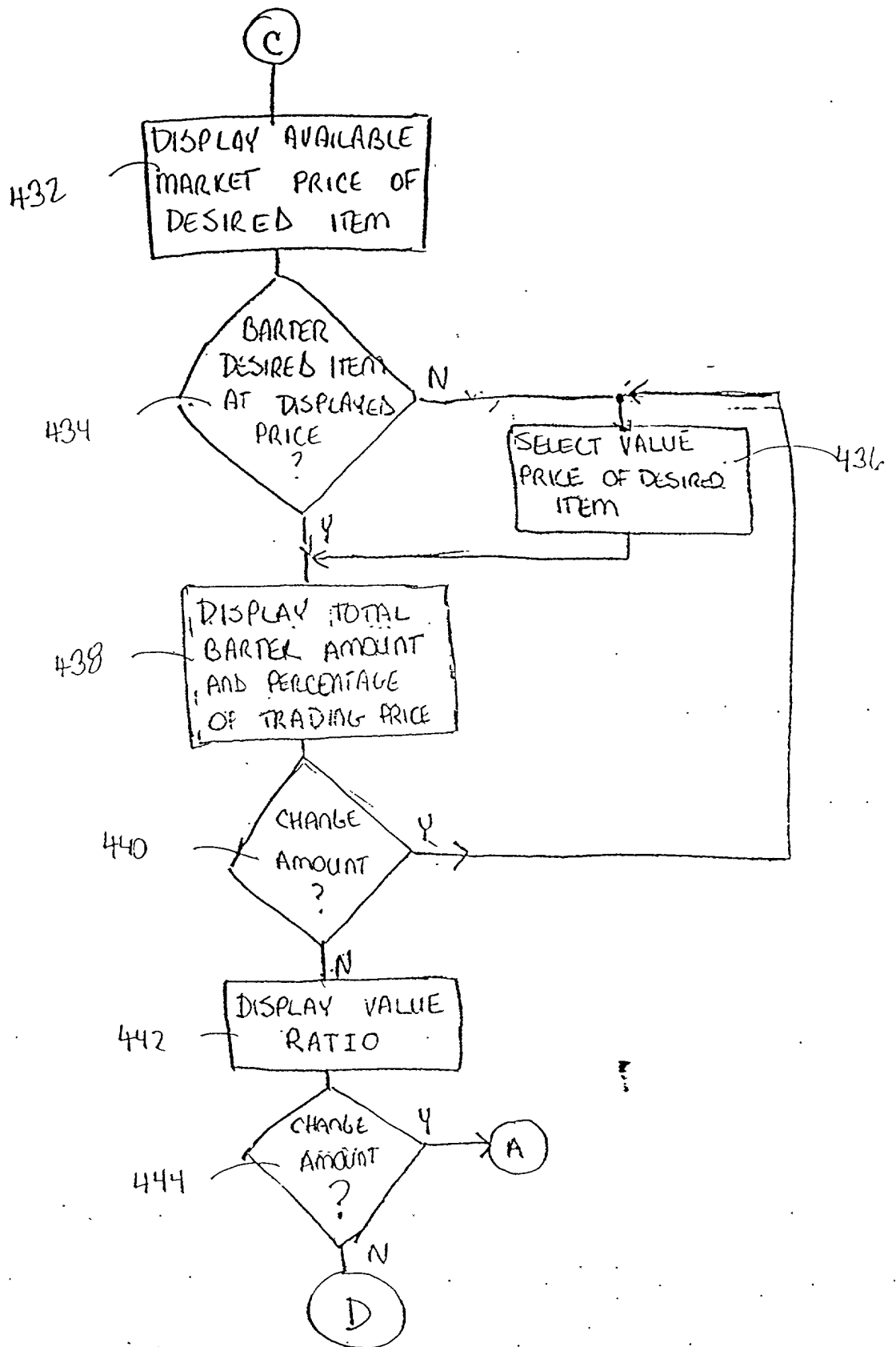
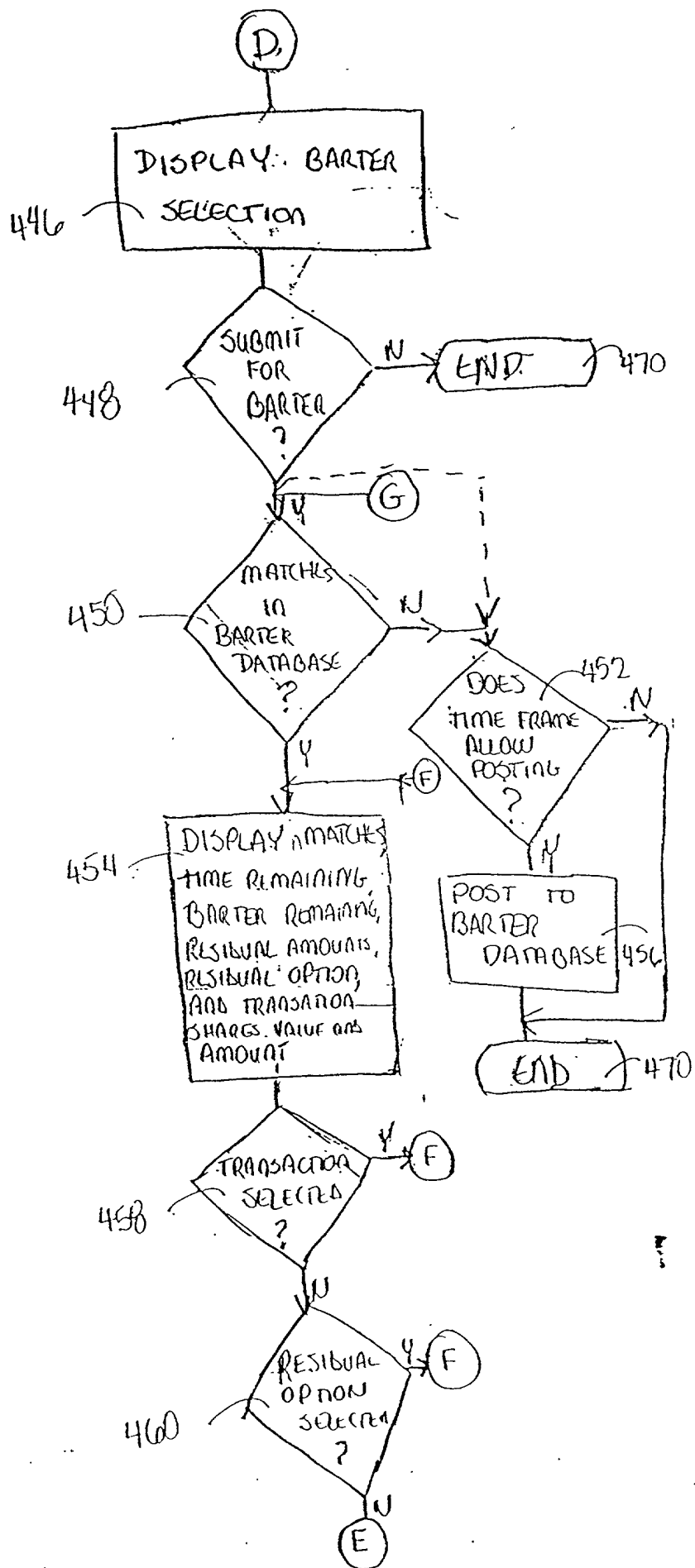


FIG. 4B

400



400



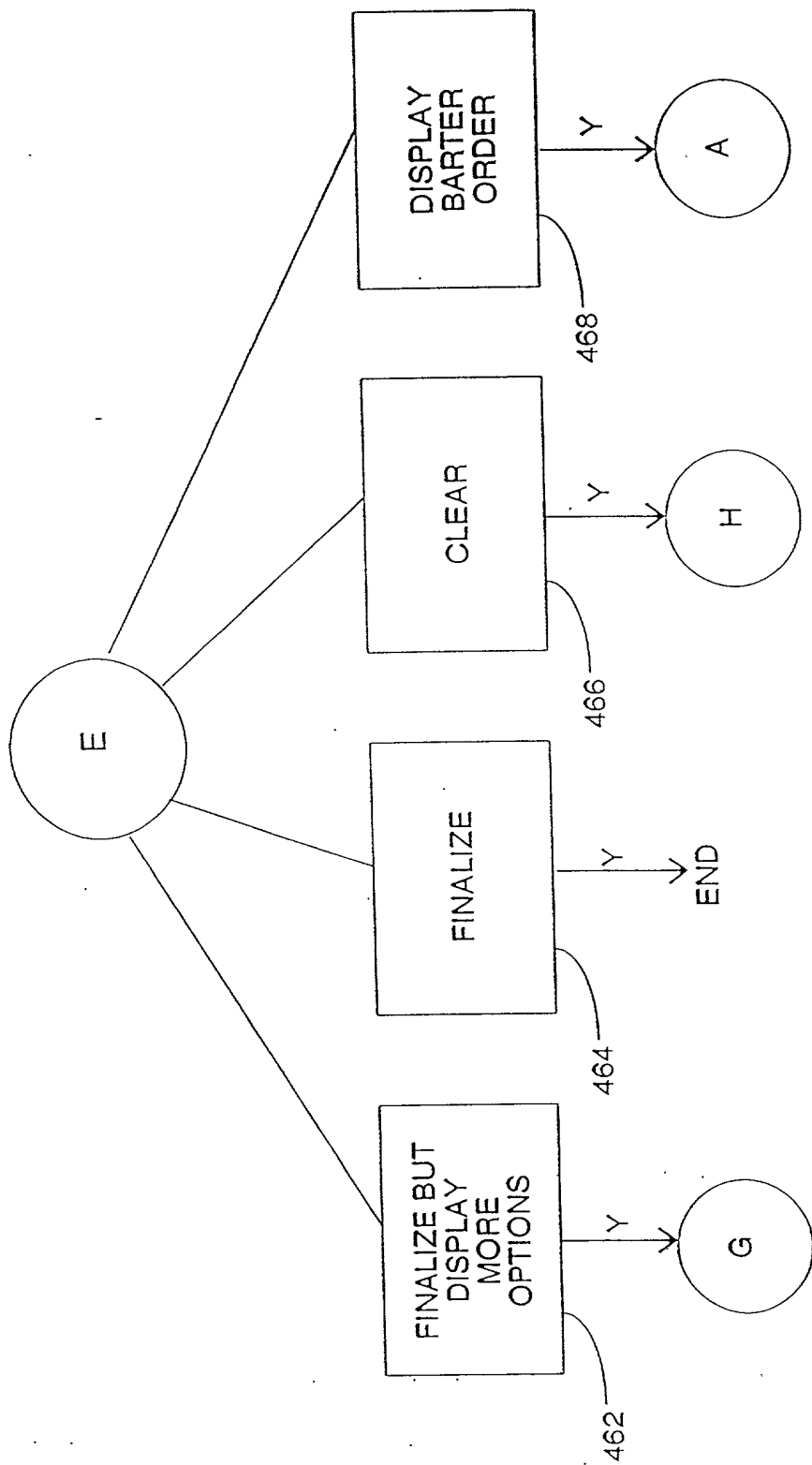


FIGURE 4E

500

BARTER SECURITY

ITEMS

503

502

DD	▼
DD	600
PFE*	01/25/2001 - 01/05/2001 100
PUMA	250
DD*	11/10/2000 - -/-/- 200
CASH\$	
WEB BARTER \$	

501

504

ITEMS BY CLASS

STOCK SYMBOL	▼
HIMMELSTEIN OPTION SYMBOL	▼
CASH XXXX	▼
WEB BARTER DOLLARS	▼

507

Quantity

504

600	▲▼
-----	----

505

506

MARKET DATA FOR DD

Current stock trading price as of 02/15/1999 at time 10:45 am is \$ 75.90

FIGURE 5A

508 Value \$ 

per share,
web barter dollars,
cash

OR

510 If stock or Himmelstein Option,
☐ barter at current stock trading price


511

\$ \pm

OR

% \pm

512 ☐ plus
514 Value


\$ 

OR

516 Percent

% 

518 ☐ minus
Value

\$ 

OR

519

Percent

517

519

% 

520 \rightarrow Total anticipated barter amount: _____

Note: Your value price is "X" percentage/dollar amount less/more than (or equal to) stock trading price.

522

523

FIGURE 5B

524 FEE AMOUNT per share is anticipated to be _____, if direct barter (total anticipated fee _____);

526 FEE AMOUNT per share is anticipated to be _____, if web barter (total anticipated fee _____);

528 → Timing

day only ▼
day only
good until canceled
fill or kill
immediate or cancel
only view current posts

530 Special Conditions (optional)

☐ minimum quantity

600

☐ do not reduce

☐ all or none

☐ deferred settlement date before _____ date after _____

531 ☐ Other security, if any, to be provided at settlement _____

FIGURE 5C

532 → Dividend Reinvestment (for new stock)

- ☐ yes, new stock must have a dividend reinvestment program
- ☐ no, new stock must not have had a dividend reinvestment program
- ☐ accept new stock with or without a dividend reinvestment program

534 → Type of Barter

- ☐ direct barter only (fee amount anticipated to be _____)
- ☐ if direct barter not available, then barter with web site (fee amount anticipated to be _____)

Desired Item

Security

AET ▼

Industry

Health Care ▼

535 →

536

537 →

- ☐ HIMMELSTEIN OPTION FOR STOCK
- ☐ STOCK
- ☐ Cash
- ☐ WEB BARTER DOLLARS

MARKET DATA FOR AET

538 → Current stock trading price as of 02/15/1999 at time 10:45am is \$47.50.

FIGURE 5D

Value 540
\$ per share

OR 541
☐ barter at current stock trading price
 \nwarrow IF STOCK OR HIMMELSTEIN OPTION,
☐ plus

Value \$

OR
Percent %

☐ minus
Value \$

OR
Percent %

FIGURE 5E

542→ Your total barter amount is (anticipated to be) _____ for new stock

Note: your value price is "X" percentage/dollar amount less/more than current
stock trading price.

544→ Note: Ratio of your stock to stock trading price divided by new stock to stock trading
price is "XX.XX"

546→ System will re-list order and list terms and conditions and ask if you want to continue
must click on the continue/agree icon

548

549

FIGURE 5F

TO AVAILABLE BARTER ORDERS

Note: Click on Order # if choose to do barter

IF MORE THAN ONE SELECTION, CLICK ON SEQUENTIAL PREFERENCE

Order #	Symbol	Price trading price	Ratio to stock	Value Ratio	# of Shares	Barter Amount	Price Fluctuate with stock trading Price	Special Conditions	Timing	Divided
1) 1000526	IBM	115	1.00	1.0200	120	\$13,800.00	Yes	None	1	Yes
2) 9843566	IBM	115 _{1/16}	.9995	1.0194	40	\$4,602.50	No	Minimum 40	2	No
3) 1043442	IBM	115 _{1/16}	.9941	1.0139	100	\$11,568.75	No	After 2/01/00 Before Indefinite Security at Settlement = 0	1	Yes

4)

ORDER#	# OF SHARES GIVING	PRICE OF GIVING ITEM	# OF SHARES RECEIVING	PRICE OF RECEIVING ITEM	BARTER AMOUNT
1)					
2)					
3)					
4)					
5)					
10)					

AMOUNT OF BARTER LEFT	TIME REMAINING
RESIDUAL AMOUNT LEFT OVER	<input type="checkbox"/> HOLD IN ESCROW <input type="checkbox"/> DONATE IT <input type="checkbox"/> PURCHASE OTHER STOCK

CLEAR

CHANGE BARTER ORDER

FINALIZE TRANSACTION

FINALIZE TRANSACTION BUT DISPLAY MORE BARTER OPTIONS

FIG. 6

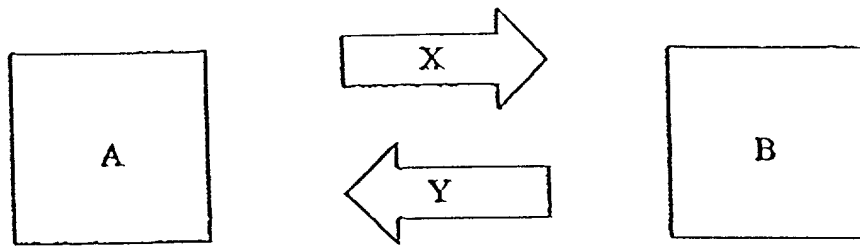


Fig. 7A

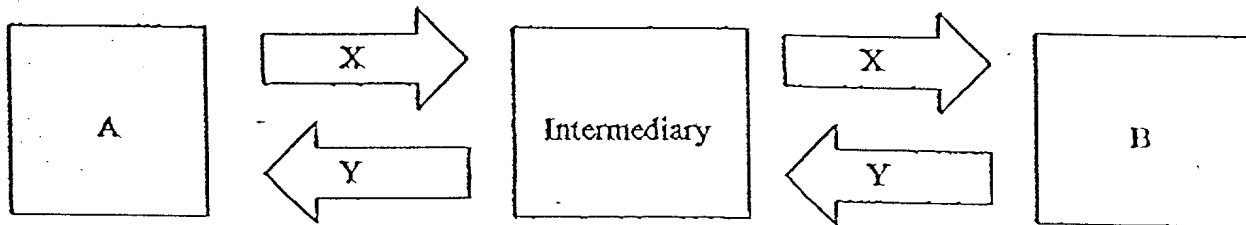


Fig. 7B

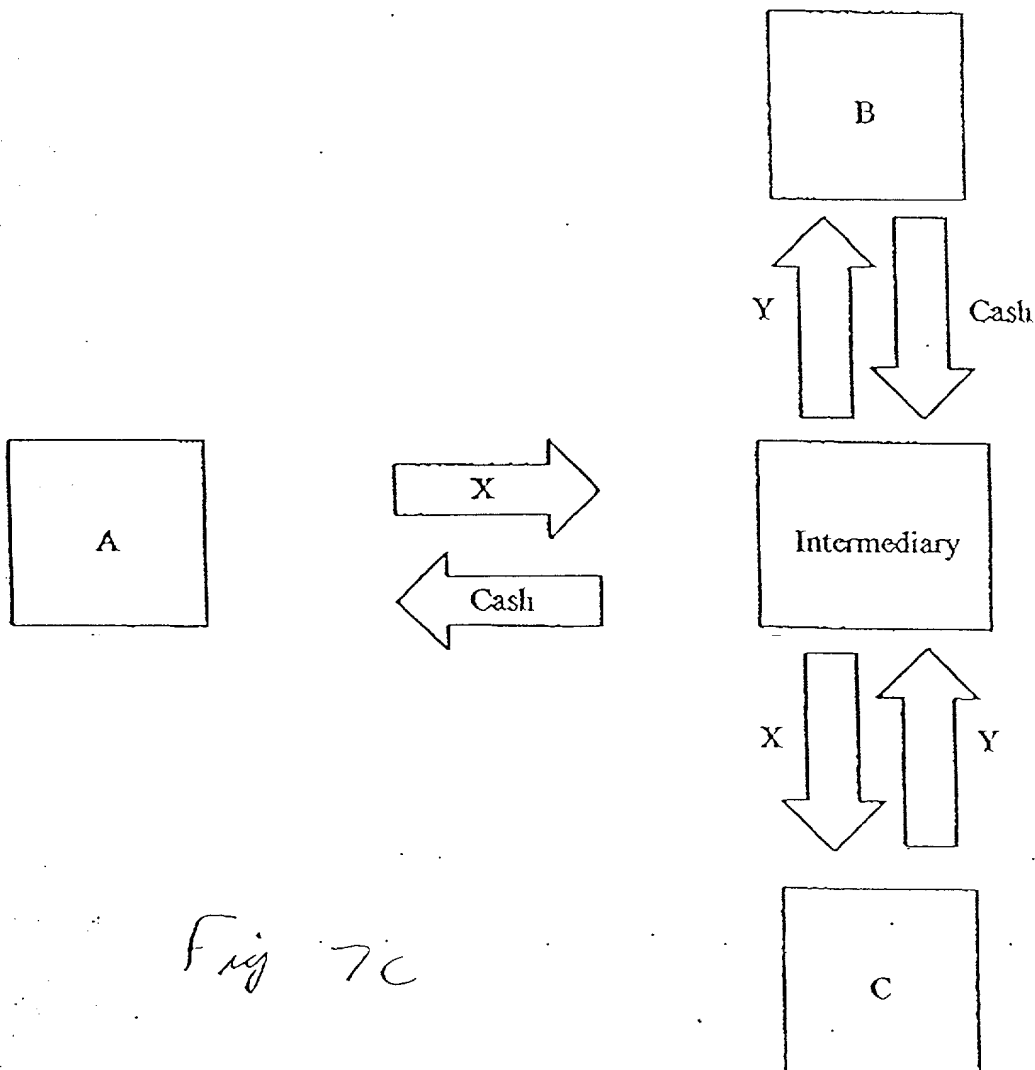


Fig 7C

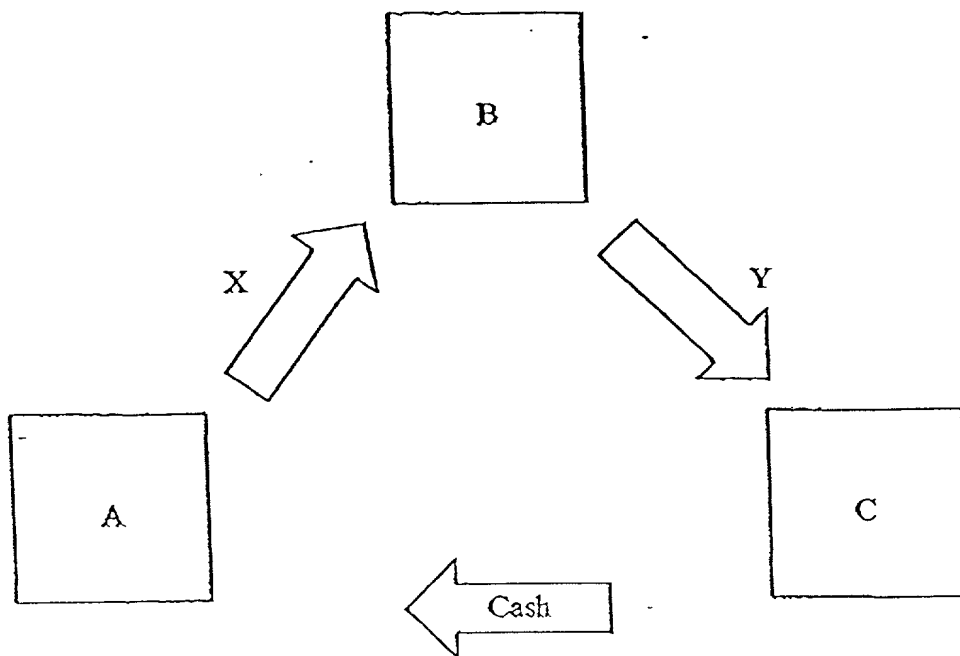


Fig. 7D

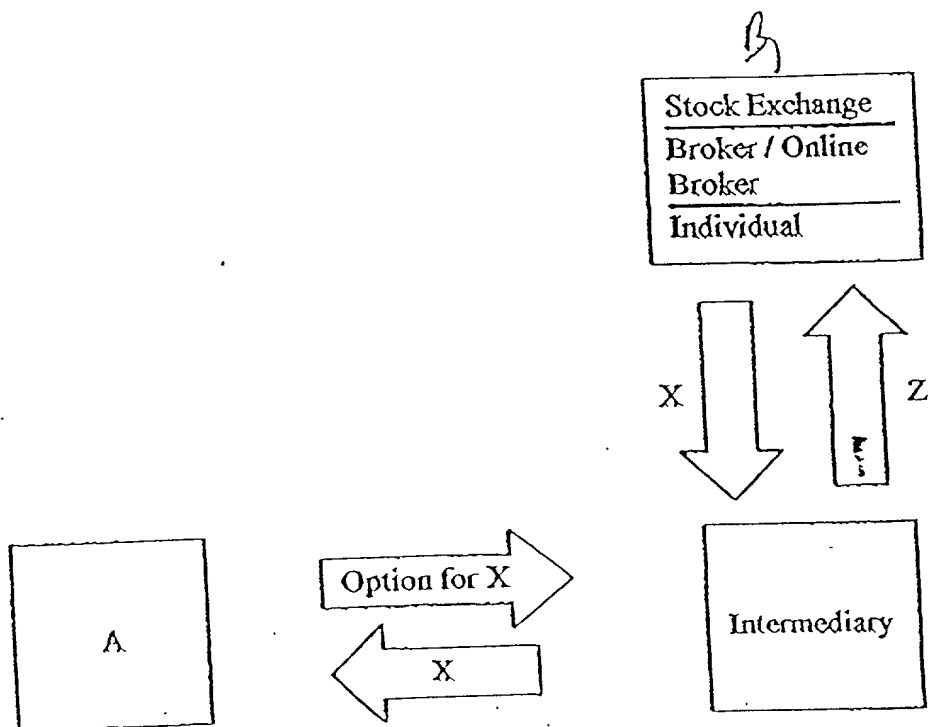


Fig. 7E

800

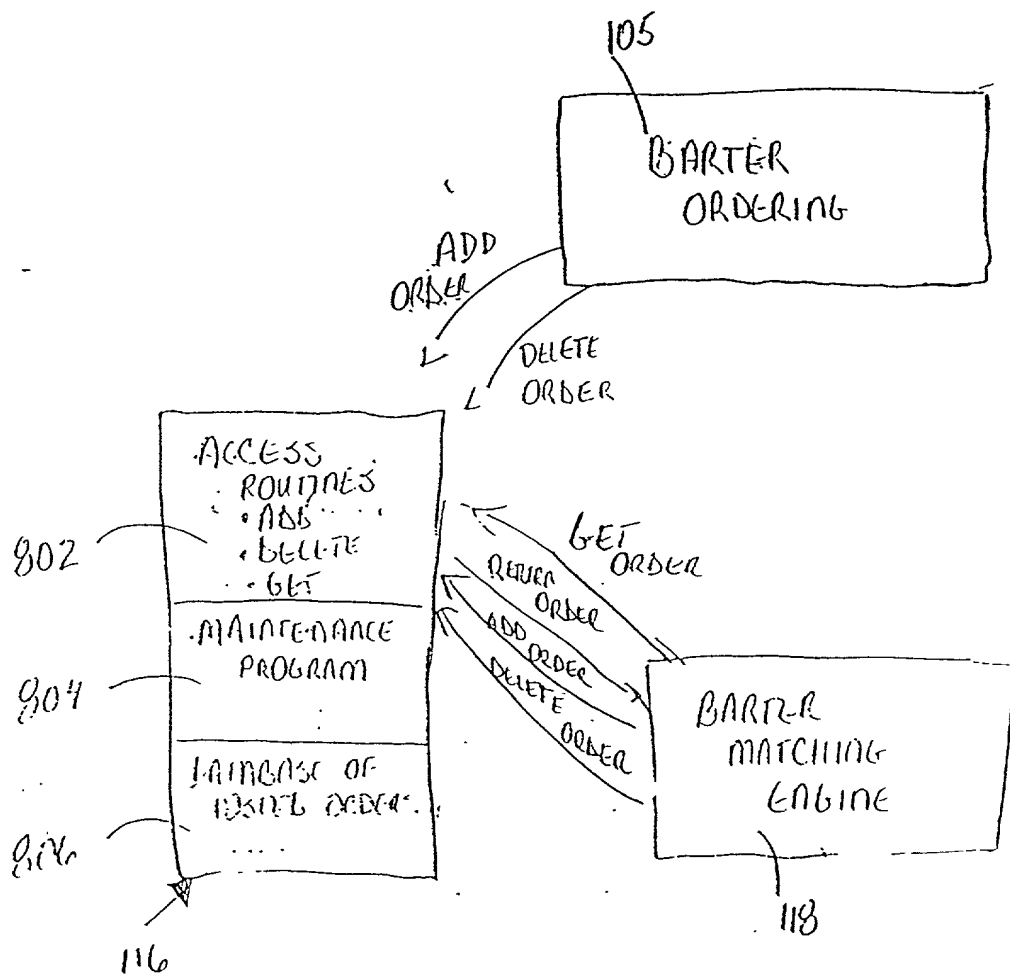


FIG. 8

Class/ Type	Item/ Identification	Amount	Market Value	Bid/Strike Price	Expiration Settlement/Date	Barter Value	Interest Rate/ Current Yield	Due/Maturity Date	Other	Barter/Settlement Date Open/Close
1 Stock	Symbol or Name	# Shares	\$	N/A	N/A	\$ or specific relationship to market value	N/A	N/A	Dividend?	MMDDYY MMDDYY
2 Currency	US or Foreign	X	US\$	N/A	N/A	US\$ or specific relationship to market value	N/A	N/A	Par Discount Premium	MMDDYY MMDDYY
3 Bonds	Issuer	X	\$	N/A	N/A	\$ or specific relationship to market value	Y% interest rate	MMDDYY	N/A	MMDDYY MMDDYY
4 Options	Z	N	\$	+/- \$	MMDDYY	\$ or specific relationship to market value	N/A	N/A	N/A	MMDDYY MMDDYY
5 Government Bond	Treasury Notes, Bonds or Bills	X	\$	+/- \$	N/A	\$ or specific relationship to market value	Y% yield rate	MMDDYY	N/A	MMDDYY MMDDYY
6 Futures	Symbol or Name	Measurement * Quantity	\$	N/A	MMDDYY	\$ or specific relationship to market value	N/A	N/A	N/A	MMDDYY MMDDYY
7 Annuities	Issuer	X	\$	N/A	N/A	\$ or specific relationship to market value	Y% interest rate	MMDDYY	Fixed or Variable Interest Rate	MMDDYY MMDDYY
8 CD's	Issuer	X	\$	N/A	N/A	\$ or specific relationship to market value	Y% interest rate	MMDDYY	Compound Interest?	MMDDYY MMDDYY
9 Web Barter Dollar	IOU	X	\$	+/- \$	MMDDYY	\$ or specific relationship to market value	Y% interest rate	MMDDYY	Dividend? Par, Discount, Premium Fixed or Variable Interest Compound Interest?	MMDDYY MMDDYY

Y = interest rate or current yield in percentage

N = Quantity of options

Z = Any security

X = Foreign or domestic currency

Fig. 9A

Class/ Type	Item/ Identification	Amount	Market Value	Bid / Strike Price	Expiration Settlement Date	Barrier Value	Interest Rate/ Current Yield	Due/Maturity Date	Other	Barrier/Settlement Date Open/Close
1	Stock	AOL	100 Shares	\$ 10,200.00	N/A	\$ 10,750.00	N/A	N/A	Dividend?	01-FEB-97/90/01
2	Currency	Canadian \$	5,000 C\$	\$ 3,453.04	N/A	\$ 3,448.28	N/A	N/A	N/A	02-JAN-00/01-JAN-01
3	Bonds	Mirage	25,000.00	\$ 24,750.00	N/A	\$ 24,900.00	8.50%	1-Mar-15	Pre. Discount, Premium	20-DEC-97/23-DEC-98
4	Options	IBM	12 Options	\$ 122,400.00	\$ 102.00	\$ 12,840.00	N/A	N/A	N/A	4-OCT-99/14-NOV-00
5	T-Bills	US Government	\$ 10,000.00	\$ 10,000.00	\$ 101.00	\$ 9,800.00	4.93%	15-Jun-00	N/A	09-VID-DEC-99
6	Futures	Gold	10 troy oz	\$ 3,157.50	N/A	\$ 3,000.00	N/A	N/A	N/A	09-VID-DEC-99
7	Annuity	TransAmerica	\$ 100,000.00	\$ 100,000.00	N/A	\$ 105,000.00	10.50%	10-Jun-06	Paid as Variable Interest	02-JAN-97/01-JAN-02
8	CD's	SanWest Bank	\$ 50,000.00	\$ 50,000.00	N/A	\$ 49,000.00	4.14%	10-May-00	Compound Interest?	11-DEC-99/12-DEC-99
9	Web Barrier Dollars	IOU	\$ 25,000.00	\$ 25,000.00	A	\$ 24,500.00	C	D	Compound Interest?	Open/15-DEC-04

D = Could have Maturity Date if Web Barrier \$ are from Bonds, Government Bonds, Annuities or CD's depending on terms and conditions in barrier transactions

A = Could have Bid/Strike Price if Web Barrier \$ are from Options or Government Bonds + C9 depending on terms and conditions in barrier transactions

B = Could have Expiration Date if Web Barrier \$ are from Options or Futures depending on terms and conditions in barrier transactions

C = Could have Yield or Interest Rate if Web Barrier \$ are from Bonds, Government

Fig. 9B